

Republic of Colombia

**Ministry of Housing, City and Territory
National Housing Fund - Fonvivienda**

**Resilient and Inclusive Housing Project in
Colombia (P172535)**

**ENVIRONMENTAL AND SOCIAL COMMITMENT
PLAN (ESCP)**

April 21, 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Colombia will implement the “Resilient and Inclusive Housing Project in Colombia” (the **Project**), through the Ministry of Housing, City and Territory (Ministerio de Vivienda, Ciudad y Territorio - MVCT) with the collaboration of FONVIVIENDA and the Operator. The Project will also involve the participation of the beneficiary municipalities. The International Bank for Reconstruction and Development (hereinafter **the Bank**) has agreed to provide financing for this Project.
2. The Republic of Colombia will implement the material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESS**). This Environmental and Social Commitment Plan (**ESCP**) sets out the material measures and actions, any specific documents or plans, as well as the timing for each of those.
3. The Republic of Colombia will also comply with the provisions of all the environmental and social documents required under the ESSs and mentioned in this ESCP, such as the Environmental and Social Management Framework (ESMF), the Environmental and Social Management Plans (ESMP), Labor Management Procedures (LMP) and the Stakeholder Engagement Plan (SEP), in addition to the schedules set in said environmental and social documents.
4. The Republic of Colombia is responsible for compliance with the requirements of the ESCP, even when the implementation of specific measures and actions has been entrusted to the entities referred to under paragraph 1 above.
5. The Republic of Colombia, through MVCT, with the collaboration of FONVIVIENDA, will supervise the implementation of the material measures and actions defined in this ESCP and report to the Bank in accordance with the ESCP and the conditions of the legal agreement.
6. As agreed by the Bank and the Republic of Colombia, this ESCP may be revised from time to time during Project implementation, to reflect adaptative management of the Project’s changes, unforeseen circumstances, or in response to the assessment of the Project performance. In such circumstances, the Republic of Colombia will agree with the Bank on the changes and update of the ESCP. The agreed changes to the ESCP will be documented in the exchange of letters signed by the Bank and the Republic of Colombia, through its minister for MVCT. The MVCT will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances or Project performance result in changes to the risks and impacts during Project implementation, the Republic of Colombia will provide additional funds, if needed, to implement the to implement actions and measures to address such risks and impacts, which may include, among others: risk of harm to affected populations and public and private infrastructure; affecting the means of subsistence or livelihood of the inhabitants; generating unmitigated pollution; generating hazardous waste; eliminating vegetation cover; land erosion; altering the terrestrial and marine habitats; affecting wildlife; involuntary displacement of the inhabitants; diverse risks to the communities linked to the influx of workers during the construction of the works; unsustainable water supply due to inadequate design, poor construction or lack of implementation of the activities defined to protect and preserve the recharge areas or mitigate other environmental and social impacts; risk of contaminating the supplied water or the inadequate functionality of the works to be undertaken.

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
MONITORING AND PRESENTATION OF REPORTS			
A	<p>PERIODIC PRESENTATION OF REPORTS</p> <p>Prepare and submit to the Bank periodic monitoring reports on the Project's performance concerning environmental, social, health and occupational safety matters. They must include, among others, the implementation of the ESCP, the status of the preparation and implementation of the environmental and social documents required by the Project, the activities of the Stakeholder Engagement Plan (SEP) and the operation of the Project's Grievance Mechanism (GM).</p> <p>MVCT must make sure that the institutional arrangements are in place and the necessary systems, resources and personal are available to consolidate and issue the monitoring reports and guarantee the implementation of the preventive and corrective actions defined in the periodic reports.</p>	<p>Submit semiannual reports throughout the Project implementation no later than 30 of days after each reporting period. The first report will be submitted at the end of the first semester as of the date on which the Project was declared effective.</p>	MVCT
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project, which has or may have a significant negative effect on the environment, the affected communities, the public or the workers (e.g. deaths, sexual exploitation and/or abuse, sexual harassment in the workplace, ethnic discrimination or xenophobia, unforeseen impacts on natural habitats). Provide sufficient details on the incident or accident and state the immediate measures that were taken or are planned to be taken to overcome the incident or accident, and all the information provided by the contractor and supervision entities, as relevant. Later on, at the Bank's request, prepare a report on the incident or accident and suggest measures to avoid it from occurring again.</p> <p>The Borrower's guidelines "Response to environmental, social and occupational health and safety incidents in projects financed by the World Bank (WB)" must be included as an attachment to the Project Operational Manual (POM).</p>	<p>MVCT will report to the Bank within no more than 48 hours of taking notice of the occurrence of the incident or accident.</p> <p>The corresponding report will be submitted within a timeframe acceptable to the Bank, as requested.</p>	MVCT
C	<p>CONTRACTORS' MONTHLY REPORTS</p> <p>For contracts for works and other activities that are environmentally and/or socially relevant, the MVCT, FONVIVIENDA and the Operator, as the case may be, shall require that contractors submit monthly monitoring reports to the Project's execution unit. Works supervision firms must also submit monthly reports to the Project's execution unit. The MVCT, FONVIVIENDA and the Operator, as the case may be, will submit monthly reports prepared by the contractors and supervision firms whenever the Bank may request them.</p>	<p>Monthly report to the MVCT throughout the Project's implementation. MVCT to submit the reports to the Bank upon request.</p>	MVCT

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>MVCT and Fonvivienda shall establish and maintain an organizational structure within the Project Implementation Unit (PIU) to manage environmental, social and health and safety risks. This structure must consist of: one environmental specialist, one social specialist, one occupational health and industrial safety specialist.</p> <p>Furthermore, and considering the specific conditions of each work area, the MVCT will be aided by professionals specialized in Social Sciences, Communications, Archaeology, Biotics, Forestry or those required under the Project.</p> <p>Should the current national emergency due to the Covid-19 pandemic persist, a public health or related specialist must be engaged by the PIU, to support the managing and ensuring compliance with the biosafety protocols applicable to each work front and the sanitary provisions issued by the national government.</p> <p>If so required by the Bank, MVCT, Fonvivienda and the Operator shall incorporate additional support based for specific needs. The MVCT shall expand its professional staff according to the environmental and social requirements and to guarantee the Project's proper environmental and social management.</p>	The specialists of the Project Implementation Unit will be engaged prior to initiating the corresponding bid. This organizational structure must be maintained throughout the Project implementation.	MVCT Fonvivienda Operator
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT</p> <p>The MVCT shall carry out the Project's Environmental and Social Assessment (ESA) as part of the ESMF. The ESA will identify and assess the environmental and social risks and impacts of the Project, pursuant to the ESSs.</p> <p>If required by the Bank, MVCT shall update and complement the ESA.</p>	The final version of the ESA to be included in the ESMF must be ready within 30 days of the Project's Effective Date and prior to launching any bidding process for works.	MVCT
1.3	<p>MANAGEMENT INSTRUMENTS AND TOOLS</p> <p>1. The Project shall be implemented in accordance with the Environmental and Social Management Framework of the Project (ESMF), the general Environmental and Social Management Plan (ESMP) of the Project (to be included in the ESMF) and the Stakeholder Engagement Plan (SEP), in a manner acceptable to the Bank.</p> <p>2. MVCT, FONVIVIENDA and the Operator, as the case may be, shall require each contractor to prepare and implement a Contractor Environmental and Social Management Plan (C-ESMP) in accordance with the ESA, the ESMF, the ESMP (to be included in the ESMF) and the Project's SEP. The C-ESMP may be revised and adjusted by the contractor with a view to incorporating unforeseen and/or area-</p>	<p>1. The ESMF must be completed within 30 days of the Project's Effective Date and prior to launching any bidding process for works. Thereafter, the ESMF shall be implemented throughout Project implementation.</p> <p>2. C-ESMPs required through the bidding documents and implemented throughout the execution of works.</p>	MVCT FONVIVIENDA Operator

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
	specific impacts and the territory's cultural and socioeconomic specificities, thus updating the proposed management measures.		
1.4	<p>MANAGEMENT OF CONTRACTORS/SUPERVISION FIRMS</p> <p>a) Incorporate relevant aspects of this ESCP, including the corresponding environmental and social instruments or plans, the Labor Management Procedures (LMP), and relevant codes of conduct, in the environmental, social, health and safety specifications of the bidding and the procurement documents involving Fiduciary Agents, consulting firms, contractors and supervision firms, as well as in the corresponding contracts.</p> <p>b) Ensure that the Fiduciary Agents, consulting firms, contractors and supervision firms comply with the environmental, social, health and safety specifications laid out in their corresponding contracts as per a) above, including a code of conduct that explicitly refer to the relevant actions to prevent child labor, Sexual Exploitation and Abuse (SEA) and Sexual Harassment in the Workplace (SH) events.</p>	<p>a) Before initiating the corresponding bid.</p> <p>b) Throughout the Project implementation.</p>	<p>a) MVCT, FONVIVIENDA and the Operator, for their respective Parts of the Project.</p> <p>b) MVCT, FONVIVIENDA and the Operator, for their respective Parts of the Project.</p>
ESS 2: LABOR AND WORKING CONDITIONS;			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>a) Finalize the Labor Management Procedures (LMP) for the Project, in a manner acceptable to the Bank. They must include a code of conduct, and labor policies that focus on non-discrimination, the prevention of child and forced labor, the prevention of sexual exploitation or abuse (SEA) and sexual harassment in the workplace (SH).</p> <p>b) Implement the LMP.</p>	<p>a) Must be completed within 30 days of the Project's Effective Date and prior to initiating any bidding process for works. May be updated each year based on the Annual Operational Plan (AOP).</p> <p>b) Throughout the Project implementation.</p>	<p>MVCT</p> <p>MVCT, FONVIVIENDA and the Operator for their respective Parts of the Project.</p>
2.2	<p>GRIEVANCE MANAGEMENT FOR PROJECT WORKERS</p> <p>Update when necessary and implement the grievance mechanism for Project workers as described in the LMP. The mechanism must ensure the anonymity and confidentiality of grievances relating to potential discrimination, unfair labor conditions, Sexual Exploitation and Abuse (SEA) and Sexual Harassment in the Workplace (SH) events.</p>	As of the Project's Effective Date and throughout its execution.	MVCT, FONVIVIENDA and the Operator.
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY MEASURES</p>	<p>a) Prior to initiating the corresponding bids</p>	

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
	<p>a) Incorporate the OSH measures to the bid documents and in the contracts subscribed with the Fiduciary Agents, consulting firms, contractors and supervision firms.</p> <p>b) Ensure that the specific OHS measures are implemented in each worksite.</p>	<p>b) Throughout the implementation of the works.</p>	<p>MVCT, FONVIVIENDA, and the Operator.</p> <p>MVCT, FONVIVIENDA, and the Operator.</p>
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>WASTE MANAGEMENT</p> <p>Include in the ESMF the measures and actions to manage the waste generation risks and potential impacts on the community derived from the Project's activities.</p>	Same timeframe than for action 1.3.	MVCT, FONVIVIENDA, and the Operator.
ESS 4: COMMUNITY HEALTH AND SAFETY;			
4.1	<p>TRAFFIC AND ROAD SAFETY</p> <p>Include in the ESMP and implement measures and actions to manage the traffic and road safety risks and impacts derived from the Project's activities. This includes, among others: increase in potential road accidents, interruption of normal vehicular traffic in the area, noise emission, gas emission and particle dispersion into the atmosphere.</p>	Same timeframe than for action 1.3.	MVCT
4.2	<p>COMMUNITY HEALTH AND SAFETY</p> <p>As part of the Project's ESMF, the MVCT shall formulate measures to mitigate and manage the health risks to the community arising from the Project activities relating to the arrival of vehicles and labor to the communities, noise, atmospheric contamination, disease spreading, and in particular, measures enabling the safe and universal access of the population, especially those most vulnerable, to their homes, businesses or permanently used social equipment.</p>	Same timeframe than for action 1.3	MVCT
4.3	<p>SECURITY PERSONNEL</p> <p>Create and implement a procedure (to be included in the Labor Management Procedures – LMP) to govern the proper conduct of the security personnel of the Project in accordance with the ESS 4.</p> <p>The procedure to be implemented throughout the Project, which is mandatory for all staff engagement processes, must guarantee compliance with at least the following aspects:</p> <ul style="list-style-type: none"> • Background and employment history checks, highlighting awareness of excessive use of force situations. 	The procedure (included in the Labor Management Procedures – LMP), will be sent for the Bank's revision and no-objection prior to preparing the procurement documents for works. Once approved, it must be included in the security staff engagement processes under the responsibility of the contractors.	MVCT, FONVIVIENDA, and the Operator.

SIGNIFICANT MEASURES AND ACTIONS		TERM	ENTITY RESPONSIBLE
	<ul style="list-style-type: none"> • Training prior to employment on the use of security protocols, the use of force and appropriate conducts vis-à-vis workers and communities involved in the Project. • Monitor the performance of the security personnel. • Sanctions applicable to misconduct or excessive use of force in the workplace and against workers and communities involved in the Project. 		
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
This standard is not relevant.			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>Measures to protect biodiversity must be included in the ESMF. These measures include, inter alia: definition of the environmental criteria for the selection of homes, and in particular, the social infrastructure to be constructed; confirmation that no sensitive natural zones in the influence area are affected and define measures to manage and mitigate impacts should these areas exist. All management actions laid out in the ESMF must be adjusted to the specific conditions of the works contract through the C-ESMP.</p>	Same timeframe than for action 1.3.	MVCT, FONVIVIENDA, and the Operator.
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
This standard is not relevant.			
ESS 8: CULTURAL HERITAGE			
8.1	<p>CHANCE FINDS</p> <p>Prepare, adopt and implement the procedure for chance finds described in the ESMF, ESMP and C-ESMPs prepared for the Project.</p>	Same timeframe than action 1.3.	MVCT
ESS 9: FINANCIAL INTERMEDIARIES			
This standard is not relevant.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN</p> <p>a) Consult and disclose the draft SEP among the stakeholders, undertaking to consider their views and recommendations in the updated SEP. The consultation and disclosure of the SEP during this phase will be in line with Decrees 417, 457 and 491 / 2020 whereby the National Government adopted measures as a consequence of the sanitary emergency and the recommendations of the technical note "Public consultations and stakeholder engagement in operations backed by</p>	<p>a) Draft SEP will be disclosed before the appraisal. SEP update to be completed within 30 days of the Project's Effective Date and prior to initiating any bids, and updated every time a new municipality is engaged.</p>	MVCT, Fonvivienda and the Operator.

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	<p>the World Bank when there are restrictions on the organization of public meetings” issued March 20, 2020.</p> <p>b) Implement the SEP, guaranteeing monitoring forums with inclusive engagement that is culturally and socially appropriate for all stakeholders.</p> <p>c) Report on the implementation of the SEP.</p>	<p>b) Throughout the Project implementation.</p> <p>c) Semiannually as part of the reports to the Bank.</p>	
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Implement a grievance mechanism that is culturally appropriate and helps improve the Project’s environmental and social performance, as described in the SEP.</p>	<p>a) Grievance Mechanism described in SEP, starts operating upon the Project’s preparation and throughout its implementation.</p> <p>b) Report results in the semiannual reports.</p>	MVCT, Fonvivienda and the Operator.
CAPACITY STRENGTHENING (TRAINING)			
	<p>Develop a Project training plan per the details provided in the ESMF in a manner acceptable to the Bank. Once approved, the plan must be implemented and updated every year considering the Project’s emerging needs.</p>	<p>Within three (3) months of the Project’s Effective Date present a detailed training plan, along with its schedule, budget, goals and indicators.</p> <p>Update the Plan every year, including the planning of training activities for the subsequent year.</p> <p>Report the training plan’s implementation and monitoring of its indicators in the Project’s environmental and social performance semiannual reports.</p>	MVCT